



1. **Project Personnel:** Clearly identify every person (including their country of citizenship) who may have authorized access to the controlled technology/item. Attach additional sheets if necessary. Please print.

Name & Citizenship:

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- 5. Training/Awareness Program - Mandatory Export Training:** All participants listed on a TCP must receive mandatory export basic training by the Export Compliance Office prior to using any export controlled items or technology. Contact the Export Compliance Office to schedule a project training session at export-compliance@andrew.cmu.edu.



**CERTIFICATION FOR SAFEGUARDING EXPORT-CONTROLLED
EQUIPMENT, MATERIALS, SOFTWARE, TECHNICAL DATA OR TECHNOLOGY**

(Must be read and signed by all users (including PI) prior to access of any export-controlled materials or data)

Project Title: _____

PI Name: _____

Participant Name: _____

Sponsor: _____

Statement: I understand that my participation on the research project(s) listed may involve the receipt or use of export-controlled technology, items, software or technical data, and that it is unlawful to transfer, send or take export-controlled materials or technology out of the United States. Furthermore, I understand that I may not disclose, orally or visually, or transfer by any means, export-controlled technology or technical data to a non-U.S. person located inside or outside the U.S. without a license or applicable exemption as determined by CMU's Export Compliance Officer.

A non-U.S. person is someone who is **not** a U.S. citizen or permanent resident alien (green card holder) of the United States. **I understand the law makes no specific exceptions for non-US students, visitors, staff, postdocs or any other person not pre-authorized under a TCP to access export controlled materials or data.**

The export controlled materials or technology of this project may **not** be exported to:

- f* Foreign countries and/or any foreign person, unless the University either obtains a license or determines that an exemption applies and the University informs me of the same.
- f* Any and all embargoed destinations designated by the Office of Foreign Assets Control (located at <http://www.treasury.gov/resource-center/sanctions/Programs/Pages/Programs.aspx>)
- f* Anyone found on the Specially Designated Nationals (SDN) list (located at <http://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/default.aspx>)
- f* Proscribed countries or their citizens located in the United States as listed in 126.1 of the ITAR (if ITAR is applicable). http://pmdotc.state.gov/regulations_laws/documents/consolidated_itar/Part_126.pdf
- f* Any person or entity on the Denied Entity List, if EAR is applicable <http://www.bis.doc.gov/entities/default.htm>



You agree that you:

- f* will not use or otherwise disclose the export-controlled materials for any other purpose other than the research referenced below;
- f* will comply with any and all Carnegie Mellon University export control, security and access guidelines;
- f* have been advised by Carnegie Mellon herein that the technical data, computer software, materials or technology cannot be transferred to other non-US persons without the prior written approval or other written authorization from Carnegie Mellon who will determine if a license is required;
- f* will not leave or place the export-controlled materials, software or technical information in any location or medium where there is risk that any unauthorized export may occur (including, but not limited to, placing export-controlled materials, unattended without effective safeguards, in non-password protected files, making export-controlled information accessible to the general public over the Internet, leaving any export-controlled materials physically or visually accessible to non-authorized users, the campus community or public, and/or discussing attributes of the export-controlled materials or technical information where there is a risk of any unauthorized person overhearing).

Reminder: When using export controlled materials or technical data a license may be required for any type of physical export or release of technology, including but not limited to, communication with a non-US person (such as face-to-face, telephone, email, fax, sharing of computer files, visual inspection,